



2008-09 PENNSYLVANIA STATE GRANT STATUS NOTICE

(DEPENDENT)

THIS FORM MUST BE RECEIVED BY APRIL 1, 2009

Name \_\_\_\_\_

Street Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

- YOU MUST PROVIDE YOUR NAME AND ADDRESS.
- YOU MUST PROVIDE EITHER YOUR SOCIAL SECURITY NUMBER OR YOUR PHEAA ASSIGNED ACCOUNT NUMBER. IF YOU HAVE NOT YET RECEIVED YOUR ACCOUNT NUMBER, IT WILL BE PROVIDED AT A FUTURE DATE.
- THIS IS NOT AN APPLICATION. YOU MUST HAVE FILED A 2008-09 FAFSA AND HAVE AN INCOMPLETE PENNSYLVANIA STATE GRANT RECORD TO FILE THIS FORM.
- YOU MUST READ INSTRUCTIONS BEFORE PROVIDING DATA.
- BE SURE TO SIGN QUESTION 37 ON PAGE 2 AND MAIL PAGES 1 AND 2 OF THIS FORM TO THE ADDRESS UNDER THE SIGNATURE AREA ON PAGE 2.
- IF YOUR ANSWER IS ZERO, PLACE "ZERO" IN THE ANSWER SPACE.

SSN: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

OR

ACCT#: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

PROVIDE ANSWERS ONLY IF NECESSARY

1. Student's date of birth (Enter month, day and year.) \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

2. State of legal residence (See instructions.)  
Student \_\_\_\_\_

Parent \_\_\_\_\_

3. Date (month and year) student established legal residency (See instructions.) \_\_\_\_\_ / \_\_\_\_\_

4. Parent(s)' current marital status (See instructions and write appropriate status on the line provided.) \_\_\_\_\_

5. Will the student receive (or have) a bachelor's degree before July 1, 2008? (See instructions and check appropriate answer.) YES \_\_\_\_\_ NO \_\_\_\_\_

6. Date the student received, or will receive, a high school diploma, either by

- graduating from high school (Enter month/year.) \_\_\_\_\_ / \_\_\_\_\_

OR

- earning a GED (Enter month/year.) \_\_\_\_\_ / \_\_\_\_\_

(Enter one date ONLY. Leave blank if the question does not apply to you - see instructions.)

7. Name and address of student's high school \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
(If you received a GED, write GED in place of the high school name.) Name City State

8. a. Is the student a veteran of the U.S. Armed Forces? (See instructions for definitions and check appropriate answer.) YES \_\_\_\_\_ NO \_\_\_\_\_

b. Enter month/year student was separated or released from active duty in the U.S. Armed Forces. \_\_\_\_\_ / \_\_\_\_\_

9. Number of family members in 2008-09 (See Parent(s)' instructions.) \_\_\_\_\_

10. Number of college students in 2008-09 (See Parent(s)' instructions.) \_\_\_\_\_

11. Parent(s)' income tax filing status (See instructions for appropriate code.) \_\_\_\_\_

12. Parent(s)' type of tax return (See instructions for appropriate code.) \_\_\_\_\_

13. Parent(s)' total number of exemptions (See instructions.) \_\_\_\_\_

14. 2007 Adjusted Gross Income (AGI/Form 1040-line 37, 1040A-line 21; or 1040EZ-line 4)

Student \$ \_\_\_\_\_ .00

Parent \$ \_\_\_\_\_ .00

SIGN PAGE 2 WHEN RETURNING THIS FORM.

**YOU MUST READ INSTRUCTIONS BEFORE PROVIDING DATA FOR QUESTIONS 15 THROUGH 23.**

PROVIDE ANSWERS ONLY IF NECESSARY

- 15. 2007 Income earned from work (See instructions.)
  - Father (stepfather) \$ \_\_\_\_\_ .00
  - Mother (stepmother) \$ \_\_\_\_\_ .00
  - Student \$ \_\_\_\_\_ .00
- 16. Parent(s)' 2007 tax-deferred payments (See instructions.) \$ \_\_\_\_\_ .00
- 17. All other 2007 untaxed income - Worksheet A (See instructions.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 18. All other 2007 untaxed income - Worksheet B (See instructions.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 19. 2007 Worksheet C (See instructions.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 20. Amount of 2007 income tax paid
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 21. Investment net worth prior to PA TAP Exclusion (See instructions. Do not include home or farm.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 21a. Excludable PA Tuition Account Program (TAP) Value (See instructions.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 22. Business and/or investment farm net worth (See instructions.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 23. Balance of cash, savings and checking accounts (See instructions.)
  - Student \$ \_\_\_\_\_ .00
  - Parent(s) \$ \_\_\_\_\_ .00
- 24. Student normally lives with (See instructions for code.) \_\_\_\_\_
- 25. Father's (stepfather's) Status (See instructions for code.) \_\_\_\_\_
- 26. Mother's (stepmother's) Status (See instructions for code.) \_\_\_\_\_
- 27.-28. PHEAA USE ONLY
- 29. Father's (stepfather's) social security number \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_
- 30. Mother's (stepmother's) social security number \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_
- 31. PHEAA USE ONLY
- 32. College student will be attending (See instructions.)
  - Name: \_\_\_\_\_
  - City/State: \_\_\_\_\_
- If uncertain, list your first choice college ••
- 33. Student's housing status (See instructions for code.) \_\_\_\_\_
- 34. Student's enrollment status (See instructions for code.) \_\_\_\_\_
- 35. Student's grade level during the 2008-09 academic year (See instructions for code.) \_\_\_\_\_
- 36. Student's program of study (See instructions.) \_\_\_\_\_ / \_\_\_\_\_  

Program Name                      Code

**STATEMENT OF CERTIFICATION AND AUTHORIZATION**

37. By signing the Pennsylvania State Grant Status Notice, I/we hereby affirm that the signature(s) below constitute acceptance of the statement of certification and authorization found on page 4 of the 2008-09 State Grant Status Notice Instructions, which is incorporated herein by reference and which I/we have read, understand, agree to, and certify.

S I G N  H E R E	Signature of Student	Date	Signature of Father (Stepfather)	Date
	Email Address _____		Signature of Mother (Stepmother)	Date
	Signature of Student's Spouse	Date		

**You must return BOTH pages of this form to: PHEAA, State Grant and Special Programs, P.O. Box 8157, Harrisburg, PA 17105-8157**



# 2008-09 PENNSYLVANIA STATE GRANT STATUS NOTICE

## INSTRUCTIONS FOR SELECTED QUESTIONS

### WORKSHEET A for QUESTION 17 Calendar Year 2007 (Report Annual Amounts)

	<u>Student/Spouse</u>	<u>Parent(s)</u>
Earned income credit from IRS Form 1040-line 66a; 1040A-line 40a; or 1040EZ-line 8a.	\$ _____	\$ _____
Additional child tax credit from IRS Form 1040-line 68 or 1040A-line 41.	\$ _____	\$ _____
Welfare benefits, including Temporary Assistance for Needy Families (TANF). Don't include food stamps or subsidized housing.	\$ _____	\$ _____
Social Security benefits for all household members that were not taxed (such as SSI). Report benefits paid to parents in the Parent(s) column and benefits paid directly to student (or spouse) in the Student/Spouse column.	\$ _____	\$ _____
<b>TOTAL - Write this amount in question 17:</b>	<b>\$ _____</b>	<b>\$ _____</b>

### WORKSHEET B for QUESTION 18 Calendar Year 2007 (Report Annual Amounts)

	<u>Student/Spouse</u>	<u>Parent(s)</u>
Payments to tax-deferred pension and savings plans (paid directly or withheld from earnings), including, but not limited to, amounts reported on the W-2 Form in Boxes 12a through 12d, codes D, E, F, G, H and S. Include untaxed portions of 401 (k) and 403 (b) plans.	\$ _____	\$ _____
Payments to self-employed SEP, SIMPLE, and Keogh and other qualified plans and IRA deductions from IRS Form 1040-total of lines 28 + 32 or 1040A-line 17.	\$ _____	\$ _____
Child support <b>received</b> for all children. Don't include foster care or adoption payments.	\$ _____	\$ _____
Tax exempt interest income from IRS Form 1040-line 8b; or 1040A-line 8b.	\$ _____	\$ _____
Foreign income exclusion from IRS Form 2555-line 45 or 2555EZ-line 18.	\$ _____	\$ _____
Untaxed portions of IRA distributions from IRS Form 1040-lines (15a minus 15b) or 1040A-lines (11a minus 11b). Exclude rollovers. If negative, enter a zero here.	\$ _____	\$ _____
Untaxed portions of pensions from IRS Form 1040-lines (16a minus 16b) or 1040A-lines (12a minus 12b). Exclude rollovers. If negative, enter a zero here.	\$ _____	\$ _____
Credit for federal tax on special fuels from IRS Form 4136-line 18 - nonfarmers only.	\$ _____	\$ _____
Housing, food, and other living allowances paid to members of the military, clergy, and others (including cash payments and cash value of benefits).	\$ _____	\$ _____
Veterans' noneducation benefits such as Disability, Death Pension, or Dependency & Indemnity Compensation (DIC) and/or VA Educational Work-Study allowances.	\$ _____	\$ _____
Any other untaxed income or benefits, not reported elsewhere on Worksheets A and B, such as workers' compensation; military combat pay, untaxed portions of railroad retirement benefits, Black Lung Benefits, disability, etc. <b>Don't include</b> student aid, Workforce Investment Act educational benefits, or medical benefits from flexible spending arrangements, e.g., cafeteria plans.	\$ _____	\$ _____
Money <b>received</b> , or paid on your behalf (e.g., bills), not reported elsewhere on this form.	\$ _____	XXXXXXXXXX
<b>TOTAL - Write this amount in question 18:</b>	<b>\$ _____</b>	<b>\$ _____</b>

### WORKSHEET C for QUESTION 19 Calendar Year 2007 (Report Annual Amounts)

	<u>Student/Spouse</u>	<u>Parent(s)</u>
Educational credits (Hope and Lifetime Learning tax credits) from IRS Form 1040 - line 49 or 1040A - line 31.	\$ _____	\$ _____
Child support you paid because of divorce or separation or as a result of a legal requirement. <b>Don't include</b> support for children in your (or your parents') household as reported in question 18.	\$ _____	\$ _____
Taxable earnings from need-based employment programs, such as Federal Work-Study and need-based employment portions of fellowships and assistantships.	\$ _____	\$ _____
Student grant and scholarship aid reported to the IRS in your (or your parents') adjusted gross income. Includes AmeriCorps benefits (awards, living allowances and interest accrual payments), as well as grant or scholarship portions of fellowships and assistantships.	\$ _____	\$ _____
<b>TOTAL - Write this amount in question 19:</b>	<b>\$ _____</b>	<b>\$ _____</b>

16. Write in the 2007 untaxed income which represents tax-deferred payments (example: amount withheld by employer or contributions made directly by individuals) to pension, retirement, or savings plans. This includes deductible IRA, Keogh, 401(k), 403(b) and any other tax-deferred payments. This untaxed income should **also** be reported as part of your total untaxed income in item 18. **It will, however, be counted only once when your eligibility is determined.**

20. Enter the total amount of income tax for 2007. Income tax amount is on IRS Form 1040-line 57; 1040A-line 35 or 1040EZ-line 10.

**Note: Net worth means market value minus related debt.**

21. If you own other real estate (including rental property, land, second or summer homes) or have investments, write in their net worth as of the date the application was filed. Investments include trust funds, UGMA and UTMA accounts, money market funds, mutual funds, certificates of deposit, stocks, stock options, bonds, other securities, Coverdell savings accounts, 529 college savings plans, the refund value of 529 prepaid tuition plans, installment and land sale contracts (including mortgages held), commodities, etc. Investments do not include the home you live in, the value of life insurance and retirement plans (pension funds, qualified annuities, noneducation IRA's, Keogh plans, etc.). Please note that debt on the home you live in cannot be considered.

21a. Did you include the value of your PA Tuition Account Program (TAP) in the investments you reported on your application? If yes, place the amount you reported in question 21a.

22. If you own a business and/or an investment farm, write in the net worth as of the date the application was filed. Include land, buildings, machinery, equipment, inventory, etc. Do not include a family farm that you live on and operate.

23. Include the balance of cash, checking and savings accounts as of the date the application was filed, if not previously reported.

24. Enter the number from the list below which best identifies the person(s) with whom you normally live with **when** not attending school and with whom you resided the most for the 12 months immediately preceding the filing of your application.

- 1 ..... **Father and Mother** – Both birth parents, with parents if legally adopted, or with one birth parent and a stepparent who has legally adopted you.
- 2 ..... **Mother Only** } If you reside with only one parent who has not remarried, only that parent's income should be provided.
- 3 ..... **Father Only** }
- 4 ..... **Mother and Stepfather** – Mother and Stepfather who has NOT legally adopted you.

(continued on page 3)

# 2008-09 PENNSYLVANIA STATE GRANT STATUS NOTICE

## INSTRUCTIONS FOR SELECTED QUESTIONS

- 5 ..... **Father and Stepmother** – Father and Stepmother who has NOT legally adopted you.
- 6 ..... **Guardian only**
- 7 ..... **Spouse** – Husband or Wife
- 8 ..... **Other**

**If you entered codes 6 or 8, you must provide a signed statement (by both you and guardian, if applicable) explaining the reasons you are no longer living with your birth parents.** A statement is not required if: (1) both parents are deceased, (2) you are a veteran, or (3) your date of birth is before January 1, 1985.

25. - 28. Enter the appropriate number on the line provided on the Status Notice. Employment status should be reported as of the date the student's application was filed.

- |                       |                                    |
|-----------------------|------------------------------------|
| 1 Farmer              | 6 Disabled (permanent and total)   |
| 2 Homemaker           | 7 Retired (not employed full-time) |
| 3 Self-employed       | 8 Unemployed                       |
| 4 Employed by another | 9 Whereabouts unknown              |
| 5 Deceased            |                                    |

32. Write in the complete name (**do not abbreviate**), address, city and state of the college you plan to attend. If the college is a branch campus, include the complete name of the branch. Also, indicate if it is a specific part of a university, such as a law school.

33. Enter the appropriate number code on the line provided on the Status Notice.

- |              |  |
|--------------|--|
| 1 On-campus  | 3 Commuter-living with parent(s) or relative(s) other than parent(s) |
| 2 Off-campus |  |

34. Enter the appropriate number on the line provided on the Status Notice.

- |  |  |
|--|--|
| 1 Full-time                            | 5-8 PHEAA use only                             |
| 2 Full-time evening                    | 9 Not full-time but at least half-time evening |
| 3 Not full-time but at least half-time |  |
| 4 Less than half-time                  |  |

35. Enter the appropriate number on the line provided on the Status Notice.

- |                          |                          |
|--------------------------|--------------------------|
| 1 1st year undergraduate | 4 4th year undergraduate |
| 2 2nd year undergraduate | 5 5th year undergraduate |
| 3 3rd year undergraduate | 6 Graduate/Professional  |

36. If you will attend a college or hospital school of nursing, select the appropriate letter code from the following list and enter it in question 36.

### CODES FOR BACHELOR'S DEGREE GRANTING COLLEGES

- A – Five-Year Cooperative Work-Study (All Majors)
- B – Bachelor's Degree (Religion Major)
- C – Bachelor's Degree (All Other Majors)
- G – Two-Year Terminal Program in a Four-Year College or University
- H – Program of Less Than Two Academic Years

### CODES FOR TWO-YEAR PUBLIC AND JUNIOR COLLEGES

- I – College Transferable Program
- J – Two-Year Terminal Program - Credits Not Transferable to a Four-Year College
- K – Program of Less Than Two Academic Years

### CODES FOR HOSPITAL SCHOOLS OF NURSING

- L – Registered Nursing Program
- M – All Other Programs

### CODES FOR BUSINESS, TRADE, AND TECHNICAL COLLEGES

If you will attend a business, trade or technical college, write the program of study name in question 36 on the line provided.

37. **Read and Sign - The student must sign the 2008-09 State Grant Status Notice.** If the student is married, the student's spouse must sign this form. **If information was provided for both parents, at least one of the student's parents must also sign this form. If data is provided for only one parent, that parent must sign.** Everyone signing this form is certifying that all information is correct and that they are willing to provide documents to prove that all information provided pursuant to the State Grant application is correct. Such documents may include U.S., state, or local tax returns.

### Sending in the Pennsylvania State Grant Status Notice

Double-check the form and make sure you completed only the questions requested. Be sure the form has the necessary signatures. You should keep the instruction pages and a copy of your completed State Grant Status Notice for future reference.

Include only the letter requested in question 24 (if appropriate). **Do not send tax forms or other materials in the envelope.** This will slow down processing. All other questions or correspondence should be mailed to PHEAA, State Grant and Special Programs, P.O. Box 8141, Harrisburg, PA 17105-8141.

### INFORMATION ON THE PRIVACY ACT AND USE OF YOUR SOCIAL SECURITY NUMBER

The Privacy Act of 1974 requires that each federal, state or local agency that asks for your social security number or other information must tell you the following:

1. Its legal right to ask for the information and whether the law says you must give it;
2. What purpose the agency has in asking for it and how it will be used; and
3. What could happen if you do not give it.

The number is needed to be sure we know who you are, to process your application, and to keep track of your record. We use your social security number in recording information about your college attendance and progress; and in making sure that you have received your money. If you do not give us your social security number, you will not receive aid under the State Grant Program.

Pennsylvania State Grant applicants are hereby advised that disclosure of their social security number is and has been a requirement and a condition for participation in the State Grant Program since its inception. The Agency, without such an identifier, would have difficulty in maintaining proper program records. Section 7(a)(2) of the Privacy Act provides that an agency may continue to require the disclosure of an individual's social security account number where the agency required this disclosure under statute or regulations prior to January 1, 1975, in order to verify the identity of the individual. Beginning in 1966 with Form S-1A-66 (First Application), applicants have been required to answer all questions completely or face disqualification for grant assistance. All subsequent forms utilized by PHEAA contain the social security account number as the identifier of the applicant, including award announcements forwarded to the student and the financial aid administrator of the postsecondary institution.

The Board of Directors of PHEAA on February 27, 1969, adopted regulations including Article IV-Scholarship Program Requirements and Procedures, Section 4.4, dealing with processing of applications, which provide that all requested data must be received for scholarship consideration.

In addition, based upon the same legal authority, PHEAA also requires the parent(s)' and spouse's social security numbers on the grant application. The parent(s)' and spouse's social security account numbers will be used by PHEAA to obtain from the Pennsylvania Department of Revenue, Bureau of Individual Taxes, information concerning the family's income. The information is necessary in order to evaluate the applicant's need for State Higher Education Grant funds. The applicant and his/her parent(s) and spouse authorize PHEAA to receive such data from the District Director of Internal Revenue and/or the Director of the Department of Revenue, Bureau of Individual Taxes, by signing the State Higher Education Grant Application.

# 2008-09 PENNSYLVANIA STATE GRANT STATUS NOTICE

## INSTRUCTIONS FOR SELECTED QUESTIONS

### STATEMENT OF CERTIFICATION AND AUTHORIZATION

By signing this application, I/we authorize PHEAA for any year in which the applicant is considered for State Grant aid: to make public announcement of any State Grant award or denial; to disclose to postsecondary institution(s) selected by the applicant and to others administering financial aid which may bear on eligibility under the application, all information on any application and any information, communication and/or documentation submitted to or acquired by the Agency at any time, including state and federal tax return filings obtained hereunder; to use the data from any application to determine the applicant's eligibility for State Grant assistance as necessary; to direct the Director of the Pennsylvania Department of Revenue, Bureau of Individual Taxes, to submit to PHEAA a certified copy or extract of my/our Pennsylvania state income tax return(s) filed for the year(s) designated by PHEAA; and, to direct other federal, state and local government agencies to release to PHEAA information in their possession which may bear on my/our eligibility. I/we also agree to submit, or authorize PHEAA to obtain from the District Director of the Internal Revenue Service, a certified copy or extract of my/our federal income tax return(s) for the year(s) designated by PHEAA and that failure to provide such copies or authorization, when requested to do so by PHEAA, may result in ineligibility for State Grant aid and the requirement to refund to PHEAA previously awarded State Grants. I/we understand that all documents submitted to PHEAA become the property of PHEAA and cannot be returned.

I/we declare under penalty of the criminal laws of the Commonwealth of Pennsylvania that the applications as well as any information that I/we subsequently submit have been examined by me/us and to the best of my/our knowledge such information is true, correct and complete. I/we understand that the penalty for submission of fraudulent information on any application or for the subsequent submission of fraudulent information in any manner may be repayment of triple any amount of money received plus a fine and/or imprisonment.

I, the applicant, authorize and direct the institution at which I am enrolled to release to PHEAA any records or other information in the possession of the institution or any of its officers or agents which relate to my record at their institution or bear upon my eligibility for State Grant assistance. If a State Grant is awarded, I certify that it will be used only for tuition, fees, books, room or board.

For purposes of the statement of certification and authorization, "Application" includes the Free Application for Federal Student Aid and the Pennsylvania State Grant Status Notice; and "institution" includes all schools or campuses that are affiliated through ownership or administration.